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#### UNITED STATES PATENT AND 1 PADEMARK OFFICE

APPLICANT:

Scott Williams, et al.

**SERIAL NO.:** 

09/663,325

FILED:

09/15/2000

FOR:

Custom Rule System and

Method for Expert Systems

To:

**Assistant Commissioner For Patents** 

Washington, DC 20231

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT BEFORE MAILING OF FIRST OFFICE ACTION UNDER 37 CFR 1.97(b)

The patents listed on the appended PTO 1449 form reflect the state of the art of which applicant is currently aware. These references are included to fulfill the applicant's duty to disclose prior art. It is stipulated, however, that none of these references teach singly, nor render obvious when combined, the nexus of this invention as disclosed and as particularly claimed.

### IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed before the mailing date of a first Office Action on the merits.

Dated: June 19, 2001

Respectfully Submitted:

**ART UNIT: 2171** 

**EXAMINER:** Not Yet Assigned

Dennis A. DeBoo

Telephone: (916) 449-3983 Registration No.: 42,471

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of 3

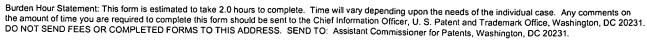
Complete if Known **Application Number** 09/663,325 Filing Date 09/15/2000 First Named Inventor Scott Williams Group Art Unit 2171 Not Yet Assigned **Examiner Name** Attorney Docket Number 2057-pa

1				U.S. PATENT DOCU	JMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Number	Document Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	41	5,521,844		Karis	May 28, 1996	rigures repear
	42	5,541,832		Nakajima, et al.	July 30, 1996	
	43	5,557,549		Chang	Sep. 17, 1996	
	44	5,559,691		Monta, et al.	Sep., 1996	
	45	5,561,610		Schricker, et al.	Oct. 1, 1996	
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Examiner Initials*	Cite	F	oreign Patent Doo	cument	Name of Patentee or	Date of Publication of	Pages, Columns, Lines,	T
	No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T6
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Signature	Considered	

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.





<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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### **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

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Sheet of 3

Complete if Known						
Application Number	09/663,325					
Filing Date `	09/15/2000					
First Named Inventor	Scott Williams					
Group Art Unit	2171					
Examiner Name	Not Yet Assigned					
Attorney Docket Number	2057-pa					

				U.S. PATENT DOCU	JMENTS	
Examiner Initials*	Cite No.1	U.S. Patent Number	Document Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	4,591,983		Bennett, et al.	May 27, 1986	rigores Appear
	2	4,628,435		Tashiro, et al.	Dec.9, 1986	
	3	4,644,479		Kemper	Feb. 17, 1987	
	4	4,719,586		Moyer, et al.	Jan. 12, 1988	
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 3

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	21	5,239,547		Tomiyama, et al.	Aug. 24, 1993	Figures Appear
	22_	5,249,260		Nigawara, et al.	Sep. 28, 1993	
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		5,463,567		Boen, et al.	Oct. 31, 1995	
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	40 7	5,511,004		Dubost, et al.	Apr. 23, 1996	

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Initials	No.1		Office <sup>3</sup>	Number <sup>4</sup>		Code <sup>5</sup> nown)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T6
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